

INTEGRITY AWARENESS BRIEFING

Office of the Inspector General
Office of Investigations
U.S. Department of the Treasury



Introduction



 Welcome & Thank You

 Our Purpose

 Our Philosophy



Presentation Goals



The Office of Inspector General

Concept of Integrity

Standards of Ethical Conduct

Treasury Case Examples

Caring and Eliminating Fraud, Waste and Abuse

Reporting Information to the OIG



What is the OIG ?

OIG was created to:

- Promote economy and efficiency
- Prevent and detect fraud, waste and abuse
- Audit and investigate programs and operations of Treasury Department (Except for IRS - TIGTA Responsibility)
- Positive change agent for government





Office of Audits



- Internal and external audits of the Treasury Department's Offices and Bureaus.
- Both financial and program audits.
- Independent evaluation and appraisal of the financial and operating activities to assure integrity, efficiency and economy of the Department's programs and activities.



Office of Investigations



- Investigate allegations of criminal or administrative wrongdoing.
- Present criminal violations to the U.S. Department of Justice or a State's Attorney for prosecutive determination.
- Refer administrative violations to agency officials for appropriate administrative action.
- Refer systemic weaknesses to agency officials for appropriate managerial action.

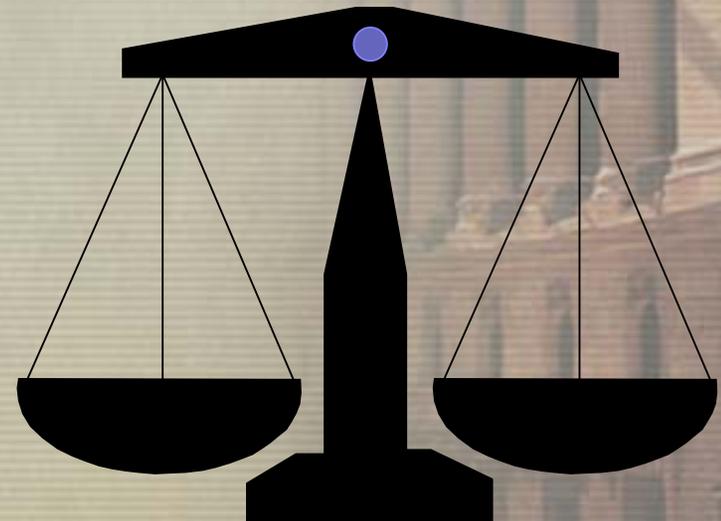


OIG Authority

IG Act of 1988, As Amended

Treasury Order 114-01

Treasury Directive 40-1





Employee Integrity

Public Trust & Ethical Behavior by Executive Branch Employees

One Ultimate Goal

- Employees must strive to avoid any action that would create the appearance that they are violating the law or ethical standards

Two Underlying Core Concepts

- Employees shall not use public office for private gain and
- Employees shall act impartially and not give preferential treatment to any private organization or individual

Fourteen Principles

- [Executive Order 12731 \(17 October 1990\)](#)
- Part 1, Section 101.(a) through 101.(n)



Ethical Standards



- 5 C.F.R. Part 2635 - General Standards
- 5 C.F.R. Part 3101 - Supplemental Standards
- EO 12731, Part 1, Section 101.(a) through 101.(n)



Treasury Conflict of Interest



- Anonymous Complaint to OIG
- Former Director (SES)- Executive Office for Asset Forfeiture (EOAF)
- Former Senior Program Analyst (GS-14), EOAF
- Former IT Contractor, EOAF



Treasury Conflict of Interest



- They were sentenced to:
- Former Director - 7 years imprisonment, \$15,000 fine
- Former Senior Program Analyst - 7 years imprisonment, \$15,000 fine
- Former IT Contractor - 6 months home detention, 3 years probation



Treasury Bribery



- Beanie Baby Case
- Company President, Treasury Contractor





Treasury Conflict of Interest



- Former Attorney, Bureau of Public Debt
- Private Financial Assistant, Private Company



Treasury Conflict of Interest



- Sentenced to:
- Former Attorney - 6 months imprisonment and terminated from Federal employment.
- Private Financial Assistant - 3 months imprisonment, \$4,000 fine, and \$40,000 in restitution.



Treasury Child Porn



- Senior Computer Specialist (GS 14)
Financial Management Services





Treasury Conflict of Interest



- Former Intelligence Research Specialist
Financial Crimes Enforcement Network



Why Report a Problem?



- Concerned American Taxpayer
- Conscientious government employee
- Interest in a having a fair, impartial working environment





You Can Help



- Report information to the OIG of anything you observe that gives the appearance of fraud, waste and abuse.
- Cooperate with OIG auditors and investigators to resolve matters being reviewed.
- Report instances of reprisals, or threats of reprisal, for making a referral to the OIG.



Report Suspected Problems



- Your Supervisor
- Designated Agency Ethics Official
- The O I G



About Treasury OIG

 No personnel action will be taken (or threatened) against an employee as *reprisal* for making a *complaint* or *disclosing information* to the OIG *unless* the employee *knowingly provided false information* or did same with a *willful disregard for the truth* or its *falsity*.

 The OIG *will not disclose* the identity of any employee without the employee's consent *unless* the OIG determines that such *disclosure is unavoidable, will also make every effort* to protect the identity of individuals who are not Treasury employees.



Report Suspected Problems



- Treasury OIG Hotline - 1-800-359-3898
- Treasury OIG Fax – (202) 927-5799
- Mail – Treasury OIG, Office of Investigations, Complaint Management Unit, 740 15th Street, N.W., Suite 500, Washington, D.C. 20220



What are your Questions?





For More Information



- General Standards and Information <http://www.usoge.gov/>
- Supplemental Standards <http://www.access.gpo.gov>
- IG Act <http://www.ignet.gov>
- TO 114-01 and TD 40-01 <http://www.treas.gov>
- OIG Web Site: <http://www.ustreas.gov/offices/inspector-general>